

# SOUTH PLAINS COLLEGE FOUNDATION

## Annual Investment Report (Including Deposits)

| Investment or Deposit Type                                                                                                                                                                                                                                                                          | August 31, 2020<br>Market Value |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| <b>Publicly Traded Equity and Similar Investments</b>                                                                                                                                                                                                                                               |                                 |
| Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)                                                                                                                                | \$ 1,088,930.85                 |
| Equity/Stock Mutual Funds                                                                                                                                                                                                                                                                           | \$ 1,836,638.51                 |
| Balanced Mutual Funds (where target allocation is > 50% equities)                                                                                                                                                                                                                                   | \$ 626,703.98                   |
| "Commonfund" Equity Commingled Funds                                                                                                                                                                                                                                                                | \$ 11,723,367.00                |
| Other Equity Commingled Funds (if primarily invested in publicly traded equities)                                                                                                                                                                                                                   | \$ 210,407.24                   |
| Preferred Stock                                                                                                                                                                                                                                                                                     |                                 |
| Other - list by type                                                                                                                                                                                                                                                                                |                                 |
| <b>Total Publicly Traded Equity and Similar Investments</b>                                                                                                                                                                                                                                         | <b>\$ 15,486,047.58</b>         |
| <b>"Other" Investments - Other than Publicly Traded Equity and Debt Investments</b>                                                                                                                                                                                                                 |                                 |
| Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio ) | \$ 175,994.64                   |
| Other Real Asset Investments (e.g. investments in infrastructure funds)                                                                                                                                                                                                                             |                                 |
| Private Equity                                                                                                                                                                                                                                                                                      | \$ 401,220.47                   |
| Hedge Funds                                                                                                                                                                                                                                                                                         | \$ 170,379.74                   |
| "Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)                                                                                                                                                                                       |                                 |
| Annuities                                                                                                                                                                                                                                                                                           |                                 |
| Commodities                                                                                                                                                                                                                                                                                         |                                 |
| Collectibles                                                                                                                                                                                                                                                                                        |                                 |
| Other - list by type                                                                                                                                                                                                                                                                                |                                 |
| <b>Total "Other" Investments - Other than Publicly Traded Equity &amp; Debt Investments</b>                                                                                                                                                                                                         | <b>\$ 747,594.85</b>            |
| <b>Publicly Traded Debt &amp; Similar Investments&gt;1 year maturity</b>                                                                                                                                                                                                                            |                                 |
| U.S. Government Securities ("Treasures")                                                                                                                                                                                                                                                            | \$ 112,093.35                   |
| U.S. Government Agency Securities ("Agencies")                                                                                                                                                                                                                                                      | \$ 34,899.98                    |
| Mortgage Pass-Throughs - "Agency"                                                                                                                                                                                                                                                                   |                                 |
| Mortgage Pass-Throughs - "Private Label"                                                                                                                                                                                                                                                            |                                 |
| Asset-Backed Securities (ABS) (other than mortgage-backed securities)                                                                                                                                                                                                                               |                                 |
| Sovereign Debt (non-U.S.)                                                                                                                                                                                                                                                                           |                                 |
| Municipal Obligations                                                                                                                                                                                                                                                                               |                                 |
| <b>Collateralized Mortgage Obligations (CMOs) - list below by category</b>                                                                                                                                                                                                                          |                                 |
| Interest Only Strips (IOs)                                                                                                                                                                                                                                                                          |                                 |
| Principal Only Strips (POs)                                                                                                                                                                                                                                                                         |                                 |
| Inverse Floaters                                                                                                                                                                                                                                                                                    |                                 |
| Stated Final Maturity longer than 10 years                                                                                                                                                                                                                                                          |                                 |
| Other CMOs - "Agency"                                                                                                                                                                                                                                                                               |                                 |
| Other CMOs - "Private Label"                                                                                                                                                                                                                                                                        |                                 |
| <b>Corporate Obligations (U.S. or foreign companies) - list below by rating</b>                                                                                                                                                                                                                     |                                 |
| Highly Rated (AAA/AA or equivalent)                                                                                                                                                                                                                                                                 | \$ 22,410.64                    |
| Other Investment Grade (A/BBB or equivalent)                                                                                                                                                                                                                                                        | \$ 143,236.30                   |
| High Yield Bonds (<BBB or equivalent)                                                                                                                                                                                                                                                               |                                 |
| Not Rated (NR)                                                                                                                                                                                                                                                                                      |                                 |
| Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)                                                                                                                                                                                                                               | \$ 1,131,084.60                 |
| Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)                                                                                                                                                                                                             |                                 |
| "Commonfund" Fixed Income/Bond Commingled Funds                                                                                                                                                                                                                                                     | \$ 6,398,987.32                 |

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## Annual Investment Report (Including Deposits)

| Investment or Deposit Type                                                                                                    | August 31, 2020<br>Market Value |
|-------------------------------------------------------------------------------------------------------------------------------|---------------------------------|
| Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC) |                                 |
| GICs (Guaranteed Investment Contracts)                                                                                        |                                 |
| Other - list by type                                                                                                          |                                 |
| Total Publicly Traded Debt & Similar Investments >1 year                                                                      | \$ 7,842,712.19                 |
| Short-Term Investments & Deposits                                                                                             |                                 |
| U.S. Government Securities ("Treasuries")                                                                                     |                                 |
| U.S. Government Agency Securities ("Agencies")                                                                                |                                 |
| Bankers' Acceptances                                                                                                          |                                 |
| Commercial Paper - A1/P1 (or equivalent)                                                                                      |                                 |
| Other Commercial Paper - lower rated                                                                                          |                                 |
| Repurchase Agreements (Repos)                                                                                                 |                                 |
| Money Market Mutual Funds (registered with the SEC)                                                                           | \$ 1,116.30                     |
| Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)                                        |                                 |
| Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")         |                                 |
| TexPool (and TexPool Prime)                                                                                                   |                                 |
| Other Public Funds Investment Pools Functioning as Money Market Mutual Funds                                                  |                                 |
| Other Investment Pools - Short-Term (not created to function as a money market mutual fund)                                   |                                 |
| Certificates of Deposit (CD) - Nonnegotiable                                                                                  |                                 |
| Certificates of Deposit (CD) - Negotiable                                                                                     |                                 |
| Bank Deposits                                                                                                                 | \$ 1,223,678.39                 |
| Cash Held at State Treasury                                                                                                   |                                 |
| Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)                                |                                 |
| Other - list by type                                                                                                          |                                 |
| Total Short-Term Investments & Deposits                                                                                       | \$ 1,224,794.69                 |
| TOTAL INVESTMENTS and DEPOSITS                                                                                                | \$ 25,301,149.31                |